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**FAX TRANSMITTAL SHEET**

January 16, 2004

**TO:** Commissioner for Patents      **Company:** U.S. Patent and Trademark Office  
  
Attn: Examiner Baker  
Art unit 1639  
  
**Fax #:** 703-872-9306      **City/State:** Alexandria, VA  
  
**Tel #:** 703-308-1119  
  
**FROM:** S. Hollinger      **7840**      **Our Ref. #:** 10416.105012

**NUMBER OF PAGES** (including transmittal sheet): 39

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**Notes/Comments:**

Re: U.S. Patent Application No. 10/074,745

Enclosed for filing in the above referenced patent are:

1. Resubmission of Petition for Correction of Inventorship (1 p);
2. Petition for Correction of Inventorship under § 1.48(a) (2 pp);
3. Powers of Attorney under § 3.73(b) (8 pp);
4. Written Consent of the Assignee as required under § 1.48(a) (2 pp);
5. Copy of Assignments (3 pp);
6. Statements by inventors being added as required under § 1.48(a) (6 pp);
7. Substitute declarations as required under § 1.48(u) (12 pp); and
8. Transmittal Form (1p), Original Fax cover sheet (1p), Confirmation and PTO Auto Reply (2p)

Attn. Docket No. 10416.105012 (Client Ref. 94-1 DIV2CON2; 1069M)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 21 2004

App. No. : 10/074,745  
Applicant : Peter G. SCHULZ et al.  
Filing Date : February 11, 2002  
T.C./A.U. : 1639  
Director : M. Baker  
Docket No. : 10416.105012  
Customer No. : 20786

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

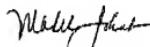
January 16, 2004

RESUBMISSION OF PETITION FOR  
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Sir:

Attached is a copy of the Petition under 37 C.F.R. § 1.48(a), as well as accompanying documents, which were transmitted via facsimile to the Patent and Trademark Office on June 17, 2003. Expedited entry of this Petition to Correct Inventorship is respectfully requested.

Respectfully submitted,

  
Madeline L. Johnston, Esq.  
Reg. No. 36,174

KING & SPALDING, LLP  
191 Peachtree Street  
Atlanta, Georgia 30303  
404-572-4720 (Telephone)  
404-572-5145 (Facsimile)

Certificate of Transmission

I hereby certify that this correspondence and documents referred to therein is being facsimile transmitted to the Commissioner for Patents, Alexandria, VA, Attention: Examiner Baker, GAU: 1639, Facsimile No. 703-872-9306, on January 16, 2004.

  
Suzanne Hollinger, Reg. No. 51,811

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Page 881

To: Auto-Reply fax to 404 572 5145 COMPANY:

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UNITED STATES  
PATENT AND  
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10

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Cover  
Page

KING & SPAULDING LLP	
FAX TRANSMISSION SHEET	
June 17, 2003	
TO: Commissioner for Patents	Computer:
Attn: Executive M. Baker Art 1639	U.S. Patent and Trademark Office
Fax #:	City/State:
703-472-2006	Alexandria, VA
Tele #: 703-472-0065	Our Ref #:
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\*\* TX STATUS REPORT \*\*

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KING AND SPALDING LLP

DATE	TIME	TO/FROM	MODE	MIN/SEC	PGS	JOB#	STATUS
34	RE-17 1515	800200017000729306#	EC-A	007/09*	2/5	022	OK

## KING & SPALDING LLP

### FAX TRANSMISSION SHEET

June 17, 2003

TO: Commissioner for Patents      Company: U.S. Patent and Trademark Office  
Attn: Examiner M. Baker,  
Art Unit: 1619  
Fax #: 703-672-9306      City/State: Alexandria, VA  
Tel. #: 703-306-0065  
FROM: S. Hollinger 7840      Our Ref. #: 10416.105012

NUMBER OF PAGES (including transmittal sheet): 35

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Name/Comptee:

Re: U.S.S.N. 10074,745

Enclosed for filing in the above referenced application are:

1. Petition for Correction of Inventorship under 1.48(a) (2 pp);
2. Powers of Attorney under 3.73(b) (8 pp);
3. Written Consent of the Assignees (2 pp);
4. Copy of Assignments from parent application (3 pp);
5. Statements by inventors being added as required under 1.48(a)(2) (6 pp);
6. Substitute Declarations as required under § 1.48(a)(3) (12 pp);
7. Transmittal Form (1p)

Am Docket No. 10416.105012 (Client Ref. 94-1DIV2CON2; 1069M)

PAGE 440 \* RCVD AT 1/21/2004 3:04:01 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/2 \* DMS:3729306 \* CSID:404 572 5145 \* DURATION (mm:ss):10-12

**KING & SPALDING LLP**

**FAX TRANSMITTAL SHEET**

June 17, 2003

**TO:** Commissioner for Patents      **Company:** U.S. Patent and Trademark Office  
Attn: Examiner M. Baker,  
Art unit: 1639  
**Fax #:** 703-872-9306      **City/State:** Alexandria, VA  
**Tel #:** 703-306-0065  
**FROM:** S. Hollinger 7840      **Our Ref. #:** 10416.105012

**NUMBER OF PAGE(S) (including transmittal sheet):** 35

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**Notes/Comments:**

Re: U.S.S.N. 10/074,745

Enclosed for filing in the above referenced application are:

1. Petition for Correction of Inventorship under 1.48(a) (2 pp);
2. Powers of Attorney under 3.73(b) (8 pp);
3. Written Consent of the Assignee (2 pp);
4. Copy of Assignments from parent application (3 pp);
5. Statements by inventors being added as required under 1.48(a)(2) (6 pp);
6. Substitute Declarations as required under § 1.48(a)(3) (12 pp);
7. Transmittal Form (1p)

Attn. Docket No. 10416.105012 (Client Ref. 94-1DIV2CON2; 1069M)

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB No. 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>		Application Number 10074,746
(to be used for all correspondence after label (b))		Filing Date February 11, 2002
		First Named Inventor Schultz, Peter G.
		Art Unit 1639
		Examiner Name M. Hauer
		Attorney Docket Number 10416.10012
Total Number of Pages in This Submission 34		

<b>ENCLOSURES</b> (Check all that apply)		
<input type="checkbox"/> Few Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.32 or 1.33	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Nonprovisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)  <input type="checkbox"/> Remarks  <input type="checkbox"/> Petition to Convert Invention(s) (2); POAs (3); Consent (2); Assignments (3); Statements (4); Substitute Declarations (12)	<input type="checkbox"/> After Allowance Communication <input type="checkbox"/> to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC <input type="checkbox"/> Appeal Notice, Brief, Reply Brief <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual King & Spalding, LLP
Signature <i>Matthew L. Johnson, Esq.</i>
Date 6-17-03

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 17, 2003	
Typed or printed Suzanne Hollinger, Reg. No. 51,811	Date 6-17-03
Signature <i>Suzanne Hollinger</i>	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the owner which is to be had by the USPTO to carry out its functions. Collection of information generally consists of the use of forms, reports, and other data collection techniques, including interviews, observations, and experiments, from which estimates, predictions, and other inferences are derived regarding the individual case. Any comments as to the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent in the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-0109 (1-800-786-8199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULITZ et al.

Serial No.: 010/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF  
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1639

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The assignees of record in the above-referenced patent application petition to add Gabriel Briceno, Kai-An Wang, and Xiao-Dong Sun as inventors, so that the inventorship in this application will be Peter G. Schultz, Xiao-Dong Xiang, Jay Goldwasser, Gabriel Briceno, Kai-An Wang, and Xiao-Dong Sun.

This petition is accompanied by:

1. Powers of Attorney executed by the assignees of the entire interest of this patent application and Certificates under 3.73(h).
2. Written Consent of the Assignees.
3. Copies of the Assignments of the inventors being added.
4. Statements from the inventors being added that the error in inventorship

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the Commissioner for Patents, Alexandria, VA, Attention: Examiner M. Baker, GAU: 1639, Facsimile No. 703-872-9306, on June 17, 2003.

  
Susanne Hollister, Reg. No. 41,811

Serial No. 10/074,745

occurred without deceptive intent on their part.

5. Substitute Declarations executed by all inventors as required under § 1.48(a)(3).
6. Authorization to charge the requisite fees to Deposit Account No. 11-0980.

The Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. § 1.17(i) of \$130.00 and any other requisite fees to Deposit Account No. 11-0980.

Entry of this Petition to Correct Inventorship is respectfully requested.

Respectfully submitted,

*Madeline L. Johnson*  
Madeline L. Johnson, Esq.  
Reg. No. 36,174

Date: June 17, 2003

KING & SPALDING, LLP  
191 Peachtree Street  
Atlanta, Georgia 30303  
404-572-4720 (Telephone)  
404-572-5145 (Facsimile)

K&S Docket: 10416.105012

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

10416105012

Applicant: Peter G. Schulz, et al. \_\_\_\_\_

Application No.: 10/074,745 Filed: February 11, 2002

For: Combinatorial Synthesis of Novel Materials

The Regents of the University of California, a corporation  
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

and  
Syniva Technologies, Inc., a corporation  
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

certify that they are the assignees of the entire right, title and interest in the patent application identified above by virtue of either:

(X) A. An assignment from the inventor(s) of the patent application identified above. The assignments were recorded in the Patent and Trademark Office at Reel 7518, Frame 0522 and Reel 7518, Frame 0103, or for which a copy thereof is attached.

OR

( ) B. A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame: \_\_\_\_\_, or for which a copy thereof is attached.
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( ) Additional documents in the chain of title are listed on a supplemental sheet.

( ) Copies of assignments or other documents in the chain of title are attached.

The assignees have reviewed all the documents in the chain of title of the patent application identified above and, to the best of the assignees' knowledge and belief, title is in the assignees identified above.

The undersigned (whose titles are supplied below) are authorized to act on behalf of the assignees.

Date: 5-22-03

Date: \_\_\_\_\_

Name: David J. Aston

Name: \_\_\_\_\_

Title: Chief Patent Counsel

Title: \_\_\_\_\_

Signature: Peter G. Schulz

Signature: \_\_\_\_\_

Patent Department  
Lawrence Berkeley Laboratory  
One Cyclotron  
Mail Stop 908  
Berkeley, CA 94720